GOVERNOR'S HURRICANE CONFERENCE®

Understanding the Details of the Florida Recovery Obligation Calculation Initiative (F-ROC)

Presented by the Florida Division of Emergency Management

With You Today

Kevin Guthrie
FDEM

Melissa Foggy
FDEM

Barry Flink
KPMG

Dr. Matt Ramirez
KPMG

Course Agenda

- F-ROC Overview
- Four Pillars of F-ROC
- F-ROC Benefits
- F-ROC Scoring
- What's Next

Course Objectives

What Will I Gain from this Course?	
	Examine what is needed to effectively implement the Florida Recovery Obligation Calculation (F-ROC).
	Understand the opt-in decision making process to participate in F-ROC.
	Examine the impact of F-ROC and identify and mitigate potential roadblocks.
	Apply your F-ROC knowledge to determine if F-ROC is right for you.

The Key to F-ROC

Complete the PDQ

Opt-In

Act on your AP
(if applicable)

Sign Terms & Conditions

Receive your Abatement Plan

Complete the DRA

F-ROC Overview

Welcome to F-ROC Overview

How Did We Get Here?

01 No Standards

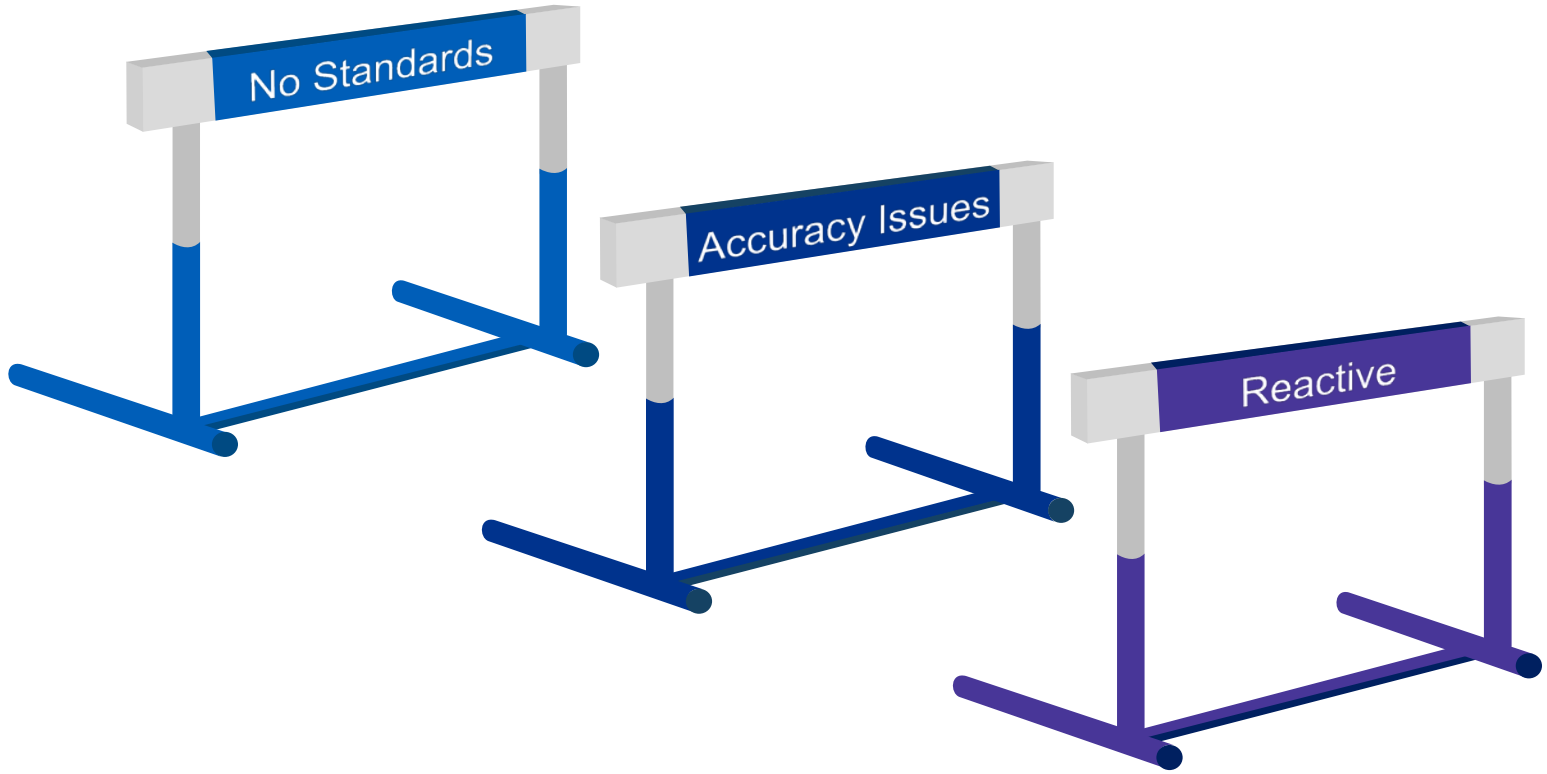
Documentation could be submitted in PDFs, Excel spreadsheet, email, scanned documents.

02 Accuracy Issues

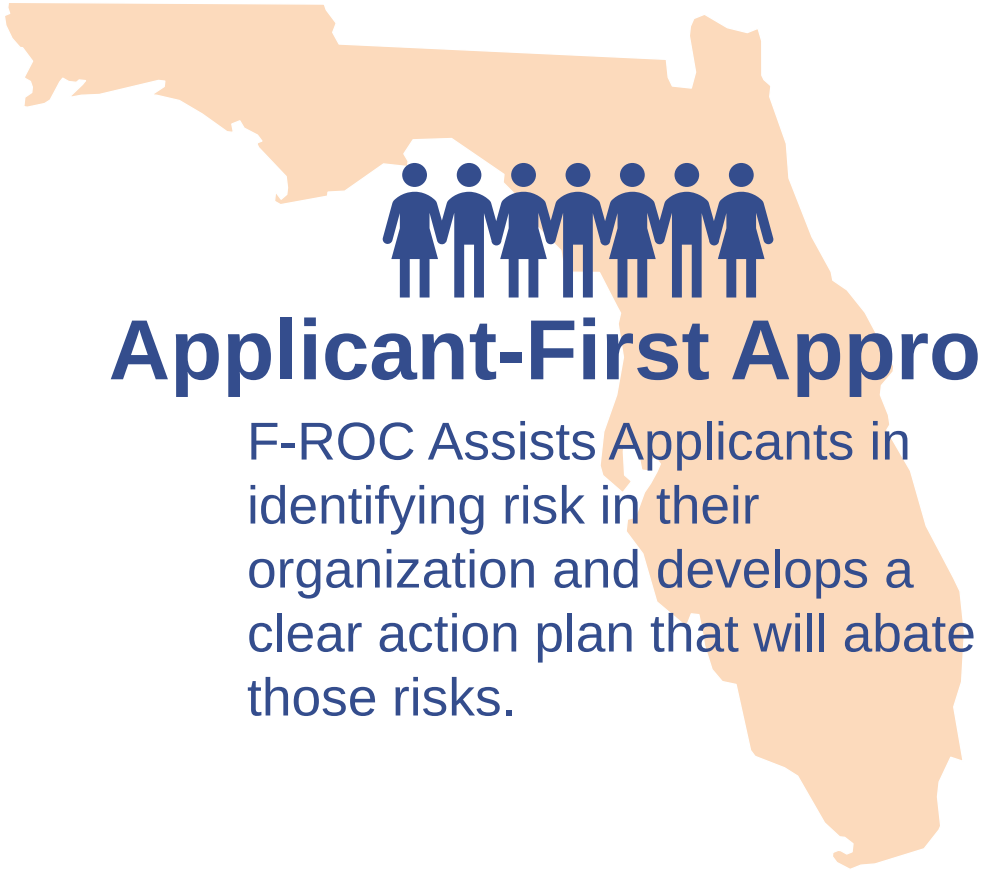
Documentation was often inaccurate or incomplete and risk was high.

03 Reactive

Most of the work being done for an event was taking place after the event.



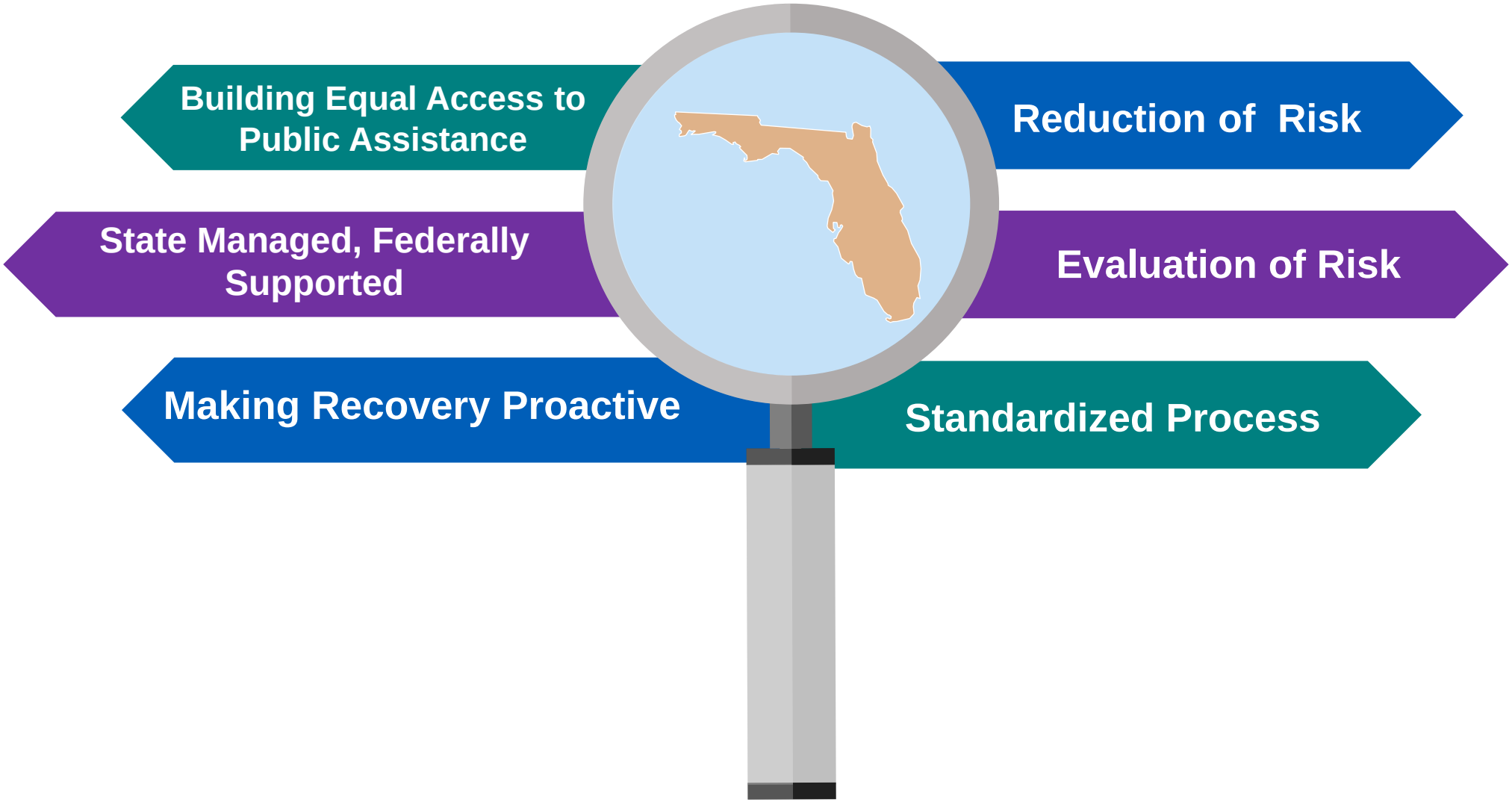
What is F-ROC?



Applicant-First Approach

F-ROC Assists Applicants in identifying risk in their organization and develops a clear action plan that will abate those risks.

The Focus of F-ROC



Four Pillars of F-ROC

Four Pillars of F-ROC

01 Standardization

Form standardization simplifies the process, making it easier to submit documentation for Public Assistance.

02 Procurement

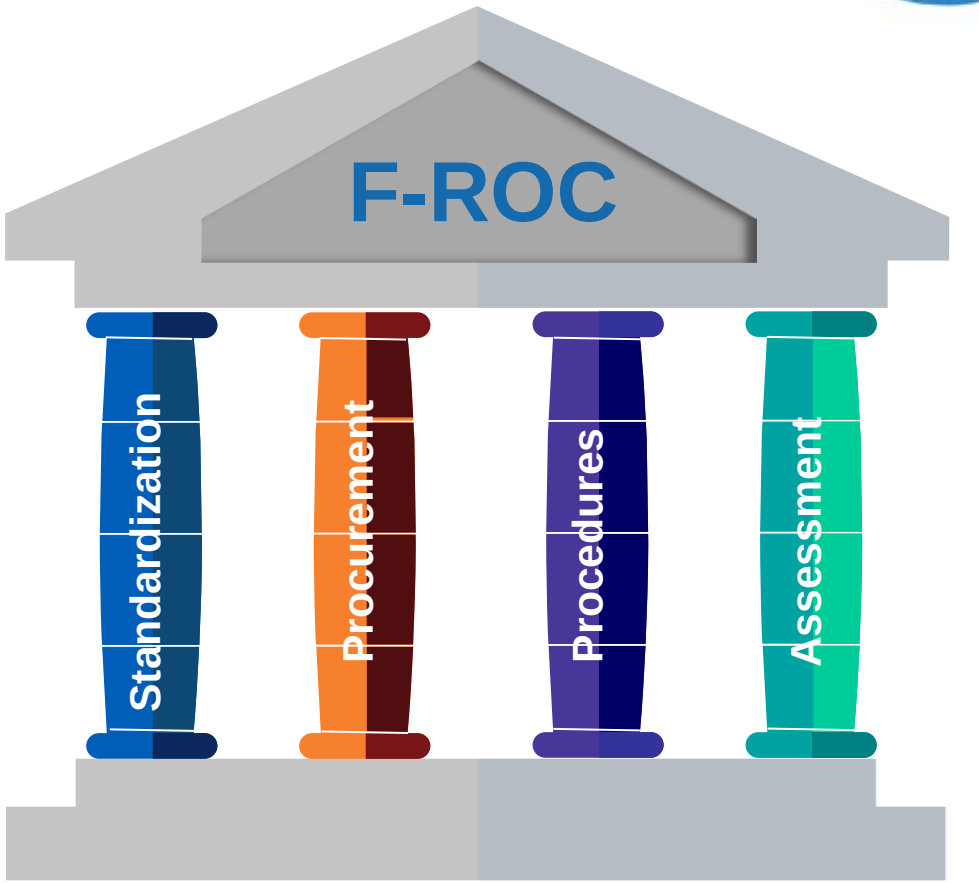
Understanding the procurement process and having contracts and vendors in place is critical in successful submission for Public Assistance.

03 Procedures

We help our Applicants prepare for events by ensuring they have a plan in place that includes policies and procedures.

04 Assessment

Our Disaster Readiness Assessment provides Applicants the insights needed for them to be successful in the F-ROC program.



Standardization

01 Standardization

Form standardization simplifies the process, making it easier to submit documentation for Public Assistance.

02 Procurement

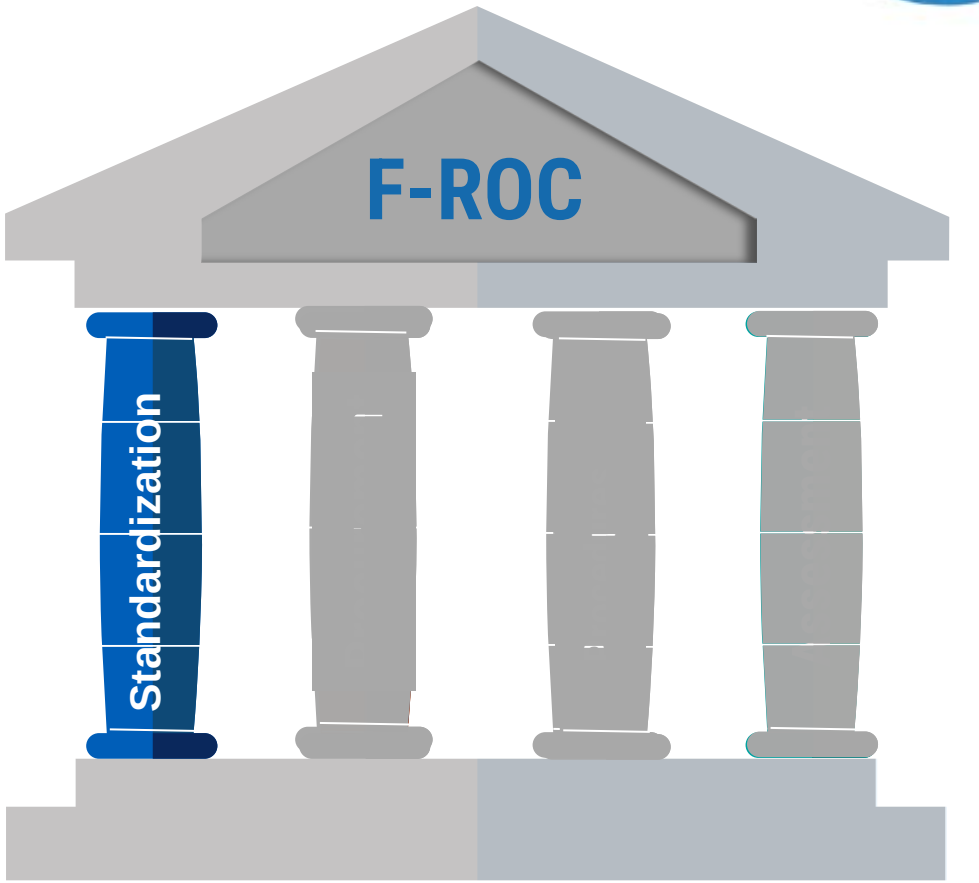
Understanding the procurement process and having contracts and vendors in place is critical in successful submission for Public Assistance.

03 Procedures

We help our Applicants prepare for events by ensuring they have a plan in place that includes policies and procedures.

04 Assessment

Our Disaster Readiness Assessment provides Applicants the insights needed for them to be successful in the F-ROC program.



Standardize and Simplify

Current Challenges

- Timing of funding post-disaster to impacted communities
- Inconsistencies in documentation elements and submissions
- OIG findings around a lack of risk-based approaches in recovery
- Increase in de-obligation due to documentation inconsistencies
- Different forms, different processes within a county or region

Goals

- Flow funding to impacted communities faster while also managing risk
- Establish clear standardized documentation expectations to promote accuracy & efficiency
- Promote a streamlined and simplified FEMA Public Assistance process built on Disaster Readiness Assessment
- Provide Applicants with a listing of actions to decrease de-obligations and increase funding advancement
- More cohesive approach and support between Applicants as everyone is using same documentation

Form Standardization

- Standardizing **all** Applicant projects to have the same look and feel will result in fewer questions, faster approvals, and a more streamlined Public Assistance process.
- Standardizing **all** forms for Category A and Category B and using intuitive features such as drop-down menus, limited free text, structured data, and essential data fields.
- Presenting **all** information in a clear, organized manner, and simplifying the process for the Consolidated Resources Center (CRC) staff.

Standardized Forms

Contract Work Summary Record	Debris Management Site Checklist	Leaner-Hanger Summary	Mutual Aid - State Mission Cost Estimate Form - Editable PC
Crew Time Report	Demolition of Private Property Eligibility Checklist	Leaner-Hanger-Stump Ticket – Example	Mutual Aid - State Mission Cost Estimate Form - Editable Travel
Daily Activity Report- Inmates	Donated Resources - Emergency Work Checklist	Load Site Log	On-Hand Materials and Supplies Usage Log
Daily Activity Reports- Individual	Equipment Inventory Log	Mosquito Abatement – Vector Control Checklist	Public Assistance Applicant Procurement Compliance Checklist
Daily Activity Reports- Individual EMS	Expanded Daily Activity Reports – Team - National Guard	Mutual Aid - EMAC R-2	Right of Entry – Example
Daily Activity Reports- Individual Firefighter	FEMA EHP Completeness Checklist for CAT A and B Permit Reference List	Mutual Aid - SMAA Local Resource Support Agreement	Sign-In Sheet
Daily Activity Reports – Individual – Law Enforcement	First Push Activity Log	Mutual Aid - State Mission Cost Estimate Form - Editable	Stump Summary
Daily Activity Reports- Team	Haul-Out Log	Mutual Aid - State Mission Cost Estimate Form – Editable EC.	Tower Monitor Summary Form
Daily Roving Monitor Log	Initial Damage Assessment	Mutual Aid - State Mission Cost Est. Form – Editable Equipment Rates	Truck Certification Form & Instructions
Debris Load Ticket Template	Labor Backfill Form	Mutual Aid - State Mission Cost Est. Form - Editable Materials	Unmanned Equipment Usage Log
	Labor Roster	Mutual Aid- Claim Summary Form	

Procurement

01 Standardization

Form standardization simplifies the process, making it easier to submit documentation for Public Assistance.

02 Procurement

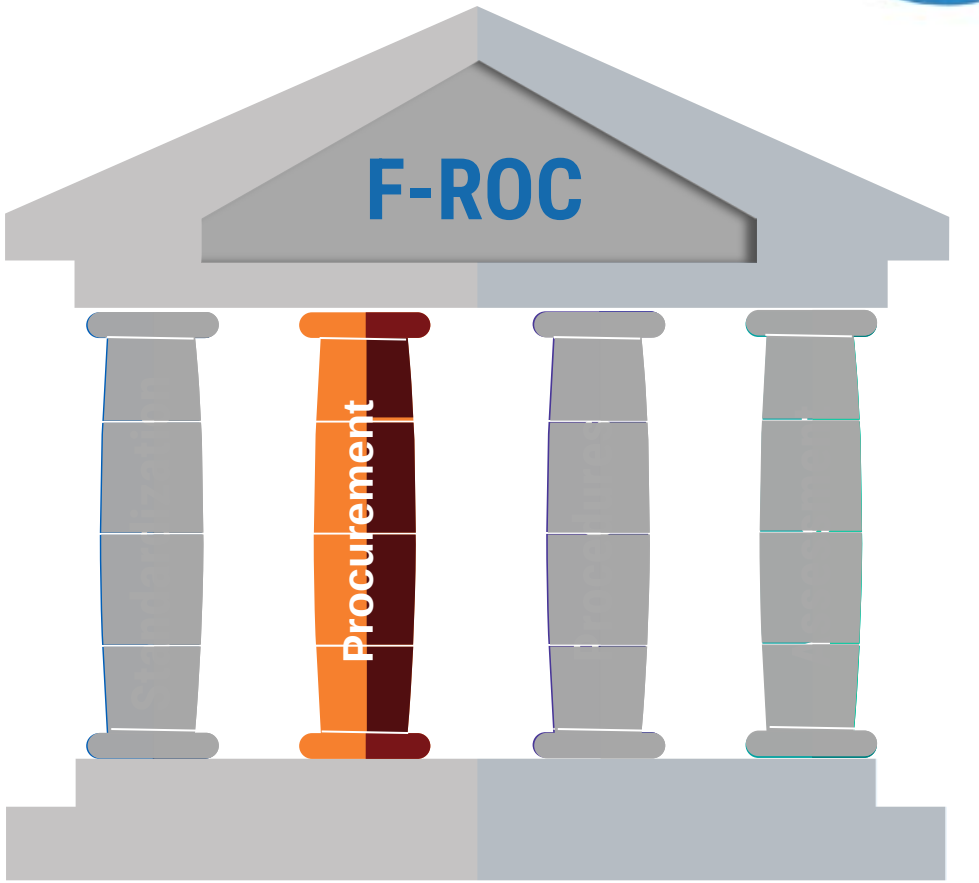
Understanding the procurement process and having contracts and vendors in place is critical in successful submission for Public Assistance.

03 Procedures

We help our Applicants prepare for events by ensuring they have a plan in place that includes policies and procedures.

04 Assessment

Our Disaster Readiness Assessment provides Applicants the insights needed for them to be successful in the F-ROC program.



Understanding Procurement

When an Applicant decides to opt-in to F-ROC they are agreeing to complete important procurement activities BEFORE and AFTER an event.

Prepare Your Procurement

F-ROC Procurement Planning

As you begin your F-ROC journey, you will have the opportunity to ensure that your procurement plans meet federal procurement regulations.

F-ROC Procurement Considerations

- Confirm your procurement procedures include important documentation.
- Confirm that your entity has written procurement procedures that ensure all solicitation documents include a clear description of the need for the goods or services being procured.
- Include all federally required contract provisions.
- Utilize F-ROC resources including Forms, Checklists, and your customized abatement plan.

Procedures

01 Standardization

Form standardization simplifies the process, making it easier to submit documentation for Public Assistance.

02 Procurement

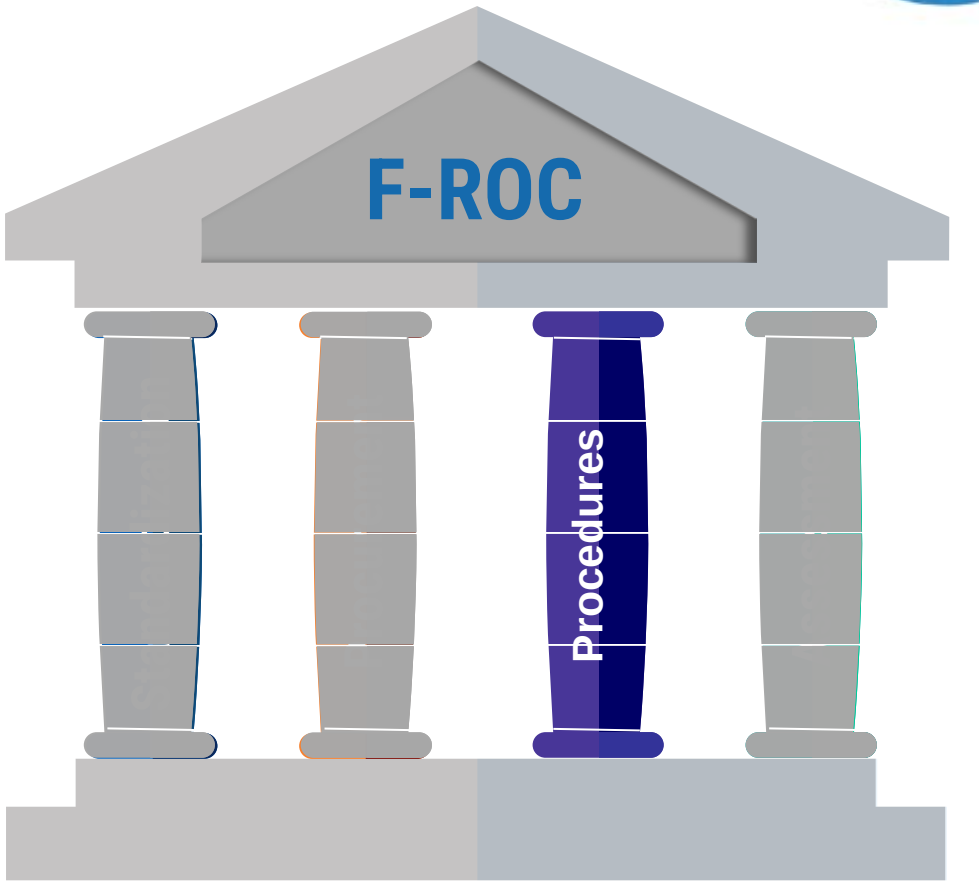
Understanding the procurement process and having contracts and vendors in place is critical in successful submission for Public Assistance.

03 Procedures

We help our Applicants prepare for events by ensuring they have a plan in place that includes policies and procedures.

04 Assessment

Our Disaster Readiness Assessment provides Applicants the insights needed for them to be successful in the F-ROC program.



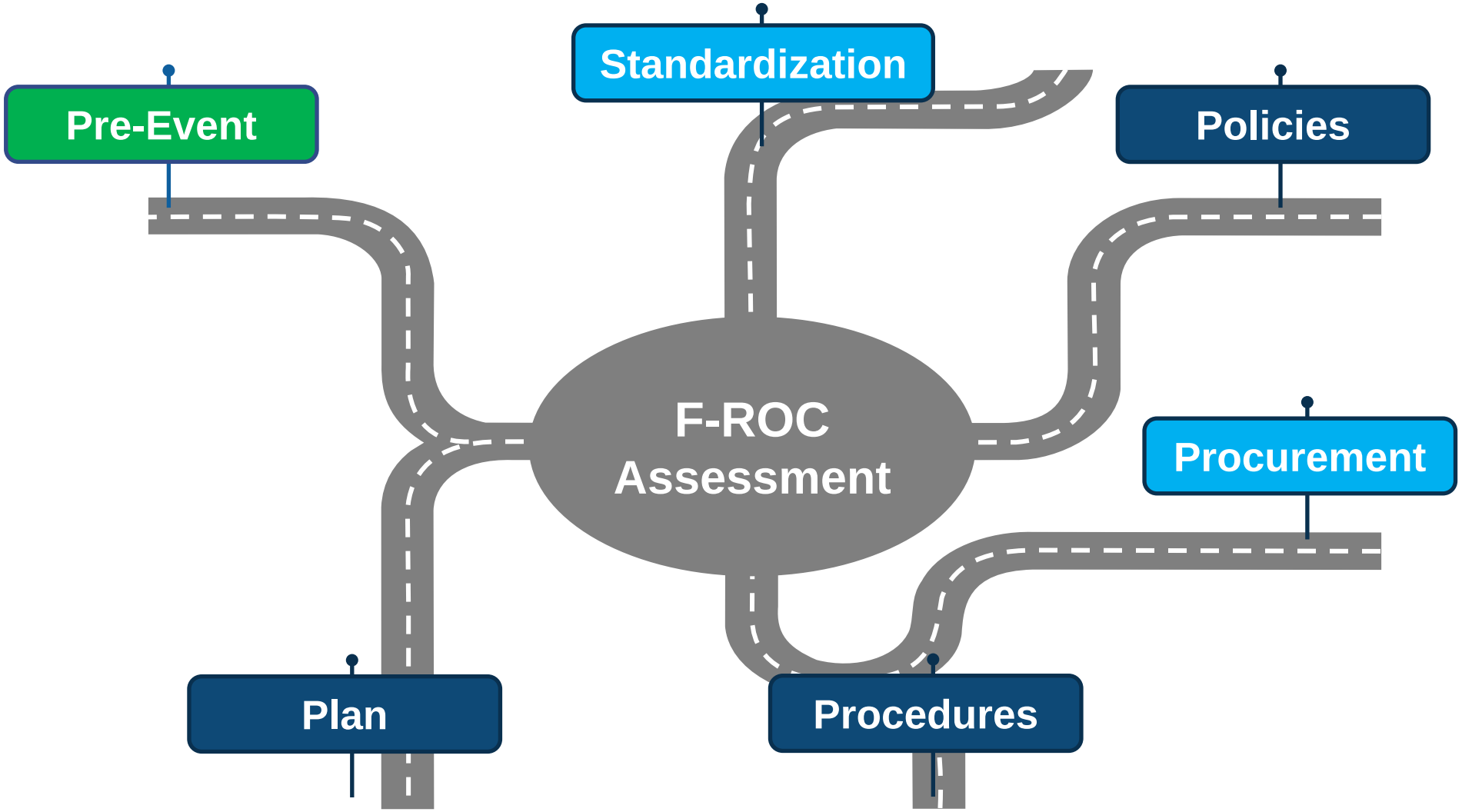
Procedures for Recovery

Make a Plan

Update Policies

Update Procedures

All Roads Lead to...



Assessment

01 Standardization

Form standardization simplifies the process, making it easier to submit documentation for Public Assistance.

02 Procurement

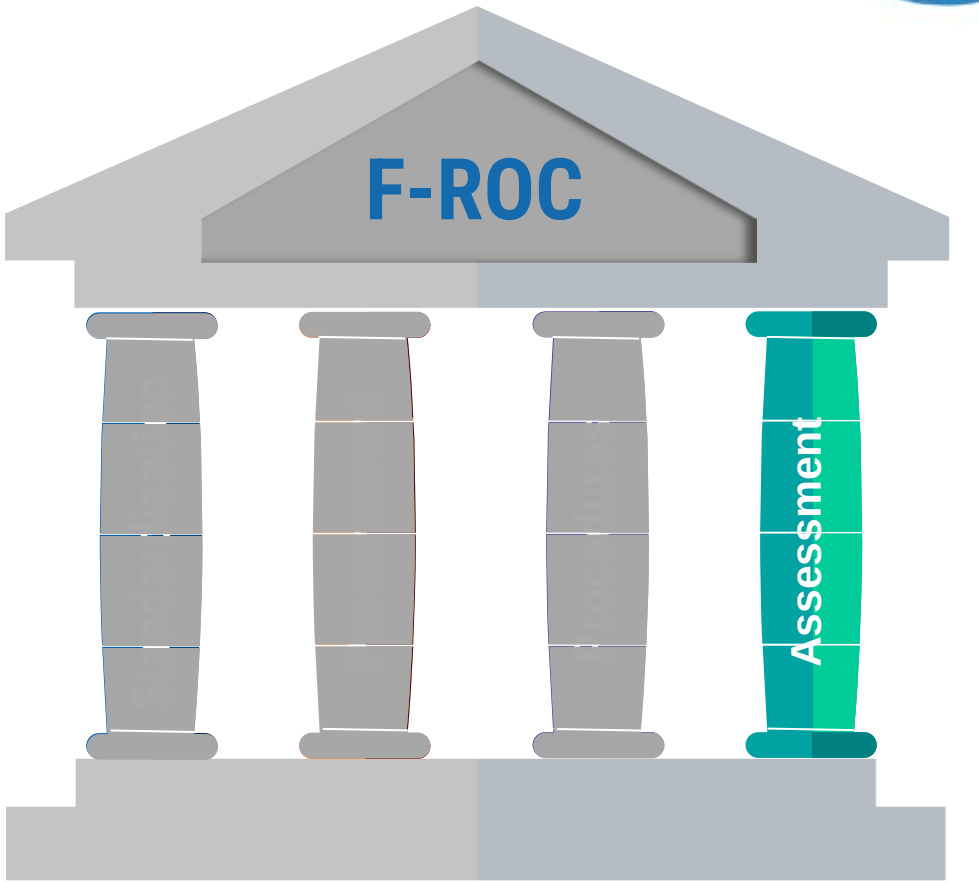
Understanding the procurement process and having contracts and vendors in place is critical in successful submission for Public Assistance.

03 Procedures

We help our Applicants prepare for events by ensuring they have a plan in place that includes policies and procedures.

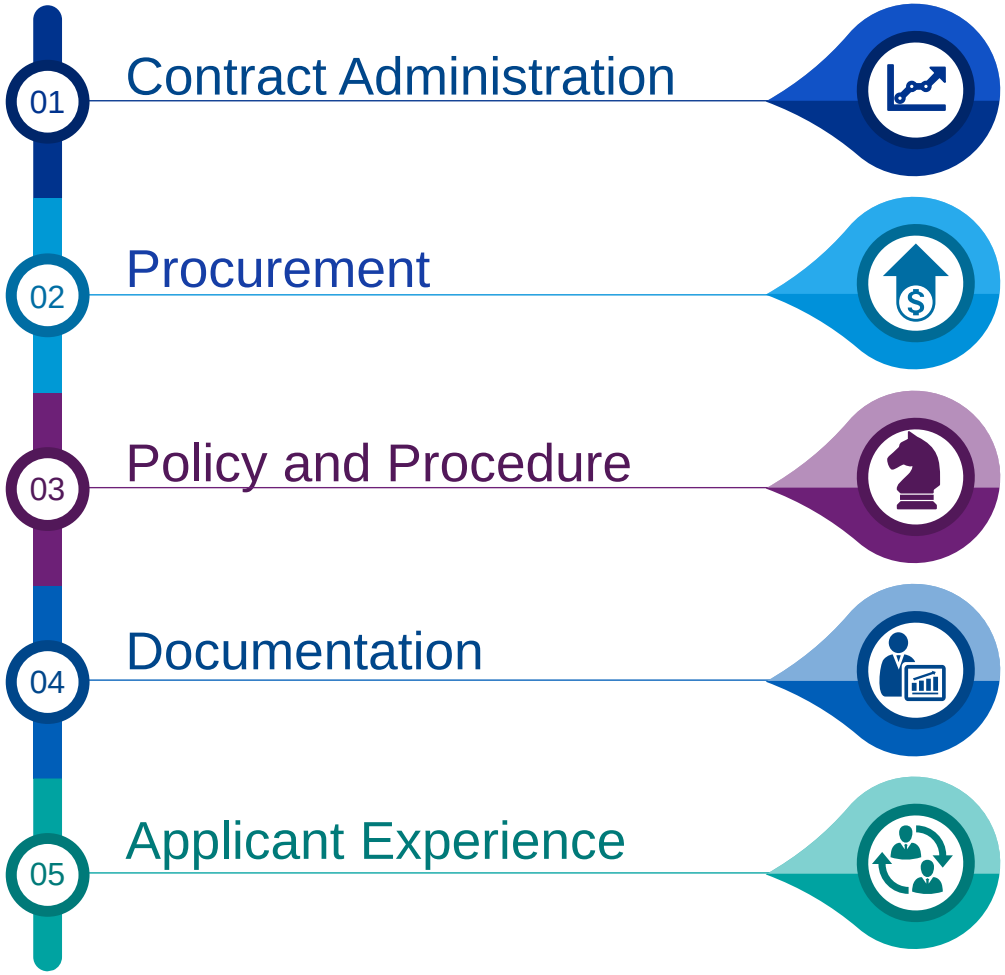
04 Assessment

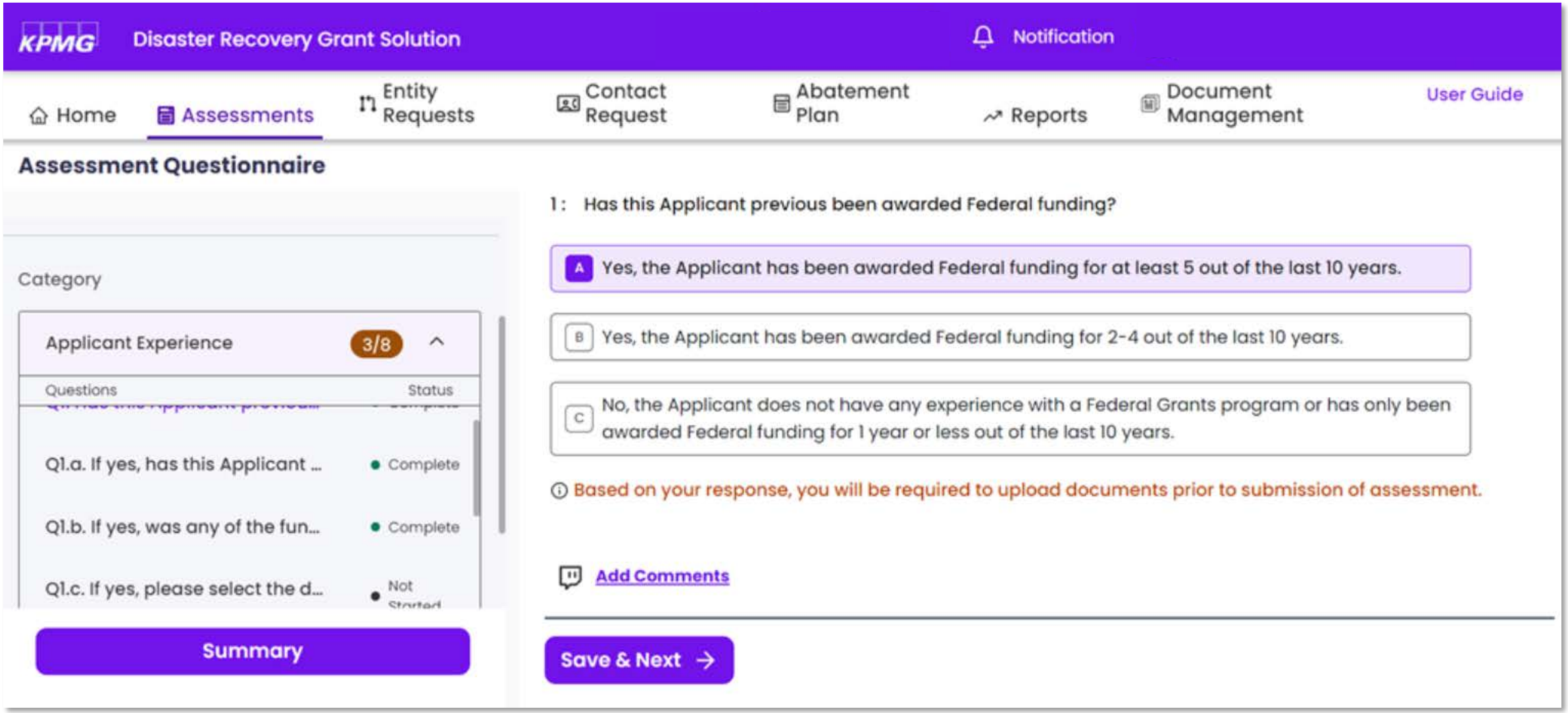
Our Disaster Readiness Assessment provides Applicants the insights needed for them to be successful in the F-ROC program.

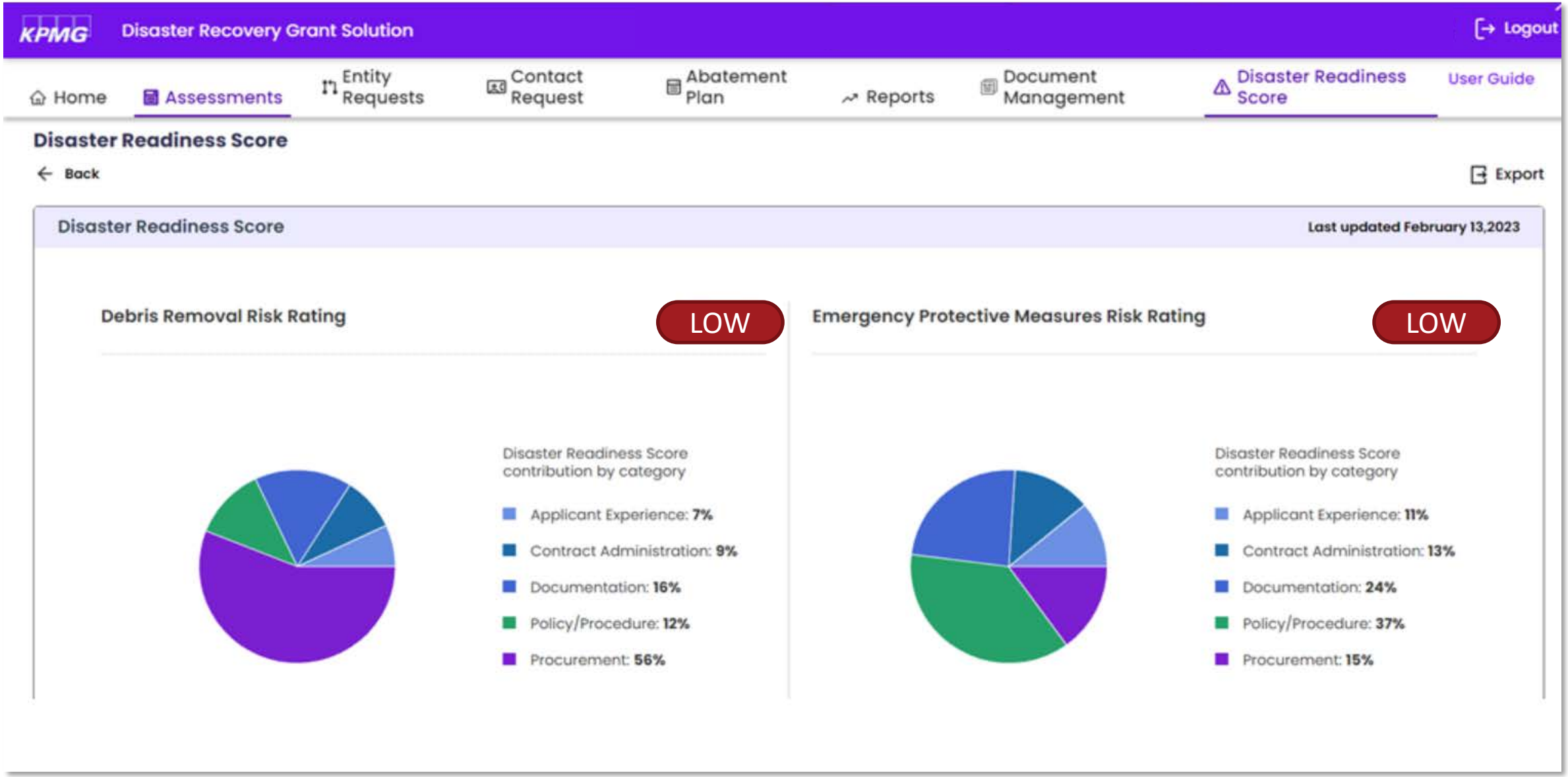


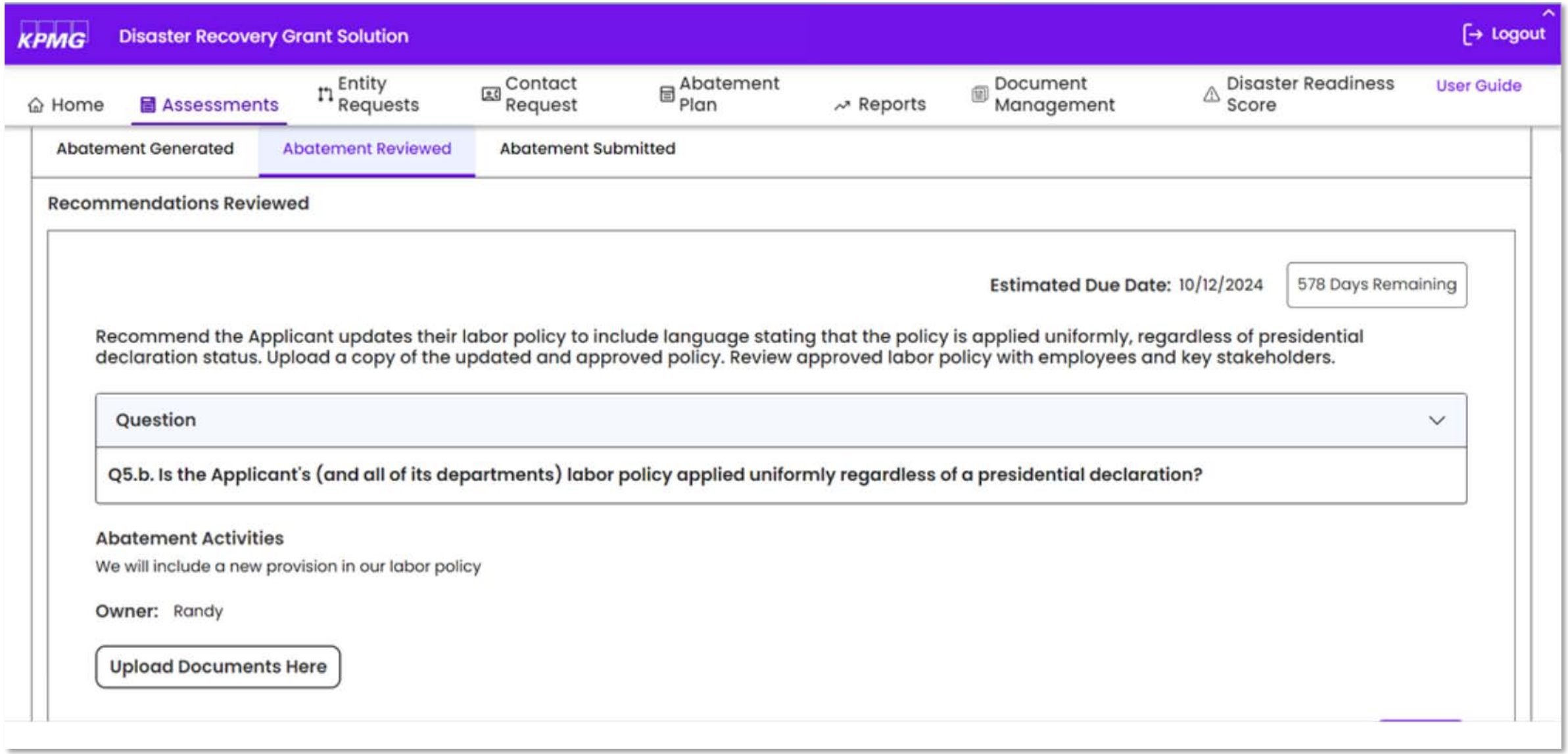
Disaster Readiness Assessment (DRA)

All Disaster Readiness Assessment questions were grouped into two categories, Category A and Category B. The questions in these two categories can then be further broken down into five sub-categories:




KPMG
Disaster Recovery Grant Solution
Notification
Home
Assessments
Entity Requests
Contact Request
Abatement Plan
Reports
Document Management
User Guide
Assessment Questionnaire
Category
Applicant Experience
3/8
Questions
Status
Q1.a. If yes, has this Applicant ...
Complete
Q1.b. If yes, was any of the fun...
Complete
Q1.c. If yes, please select the d...
Not
Summary
1 : Has this Applicant previous been awarded Federal funding?
A Yes, the Applicant has been awarded Federal funding for at least 5 out of the last 10 years.
B Yes, the Applicant has been awarded Federal funding for 2-4 out of the last 10 years.
C No, the Applicant does not have any experience with a Federal Grants program or has only been awarded Federal funding for 1 year or less out of the last 10 years.
Based on your response, you will be required to upload documents prior to submission of assessment.
Add Comments
Save & Next


KPMG
Disaster Recovery Grant Solution
Logout
Home
Assessments
Entity Requests
Contact Request
Abatement Plan
Reports
Document Management
Disaster Readiness Score
User Guide
Disaster Readiness Score
Back
Export
Disaster Readiness Score
Last updated February 13,2023
Debris Removal Risk Rating
LOW
Disaster Readiness Score contribution by category
Applicant Experience: 7%
Contract Administration: 9%
Documentation: 16%
Policy/Procedure: 12%
Procurement: 56%
Emergency Protective Measures Risk Rating
LOW
Disaster Readiness Score contribution by category
Applicant Experience: 11%
Contract Administration: 13%
Documentation: 24%
Policy/Procedure: 37%
Procurement: 15%


KPMG
Disaster Recovery Grant Solution
Logout
Home
Assessments
Entity Requests
Contact Request
Abatement Plan
Reports
Document Management
Disaster Readiness Score
User Guide
Abatement Generated
Abatement Reviewed
Abatement Submitted
Recommendations Reviewed
Estimated Due Date: 10/12/2024
578 Days Remaining
Recommend the Applicant updates their labor policy to include language stating that the policy is applied uniformly, regardless of presidential declaration status. Upload a copy of the updated and approved policy. Review approved labor policy with employees and key stakeholders.
Question
Q5.b. Is the Applicant's (and all of its departments) labor policy applied uniformly regardless of a presidential declaration?
Abatement Activities
We will include a new provision in our labor policy
Owner: Randy
Upload Documents Here

Pilot Quotes

I love this so much. I'm excited about the next steps!

Having a pilot group that use and test the system, giving real-time feedback, making changes and improvements based on that feedback, has been a super process.

Thank you for your hard work on this program. We are excited!

I feel strongly that F-ROC will result in a product that all Applicants will find user-friendly, easy to understand, and easy to navigate.

The City is excited to be a participant in this pilot program!

It's about time we standardized the Recovery process in Florida.

The forms are extremely informative.

I am determined to help everyone understand the importance of sustaining a strong Recovery program!

F-ROC Discussion

Prepare to Recover

In Your Groups:

1. What can you do right now to prepare to recover?
2. How can FDEM help?
3. Take a minute to think through your action plan. What are the first 3 things you can do as soon as you are back in your office?
4. Think about what went well during your last event. How can you improve on that?

F-ROC Benefits

Benefits of F-ROC

Project Formulation

Streamlined processes improve accuracy.

Obligation

Streamlined project reviews, results in quicker **obligation**.

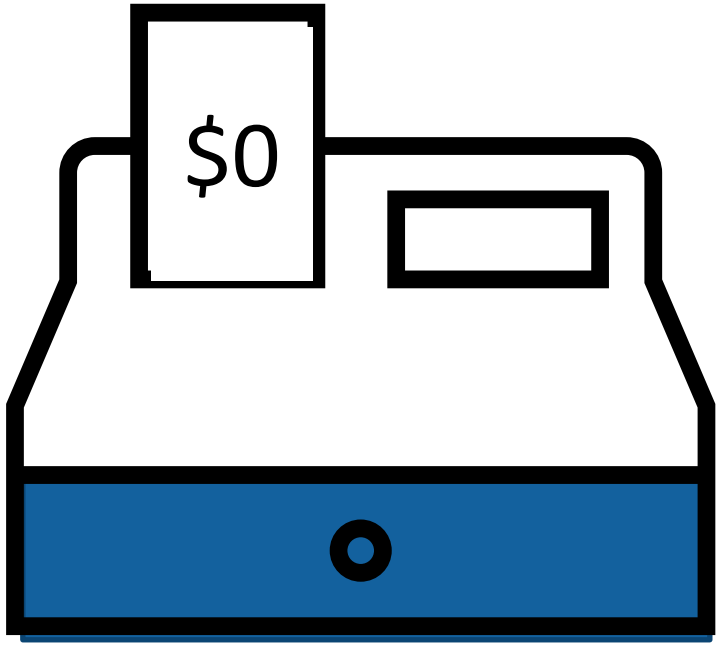
HMGP $$$

Faster obligations mean a higher lock-in and more resilient communities.

Payment

Responsibly moves $$$ to Applicants in need with maximum speed.

Cost to Join



Expedited vs. F-ROC

- 50% of funding up front
- Limited period of performance (30, 60, 90 days)
- Project will need to be reconciled with actual costs before additional funding is received
- Only applies to Categories A and B

vs.

- Up to 80% of funding up front
- Period of performance is not limited
- Standardized forms will streamline the reconciliation process
- Applies to Categories A and B AND future iterations will include Categories C – G, and Z

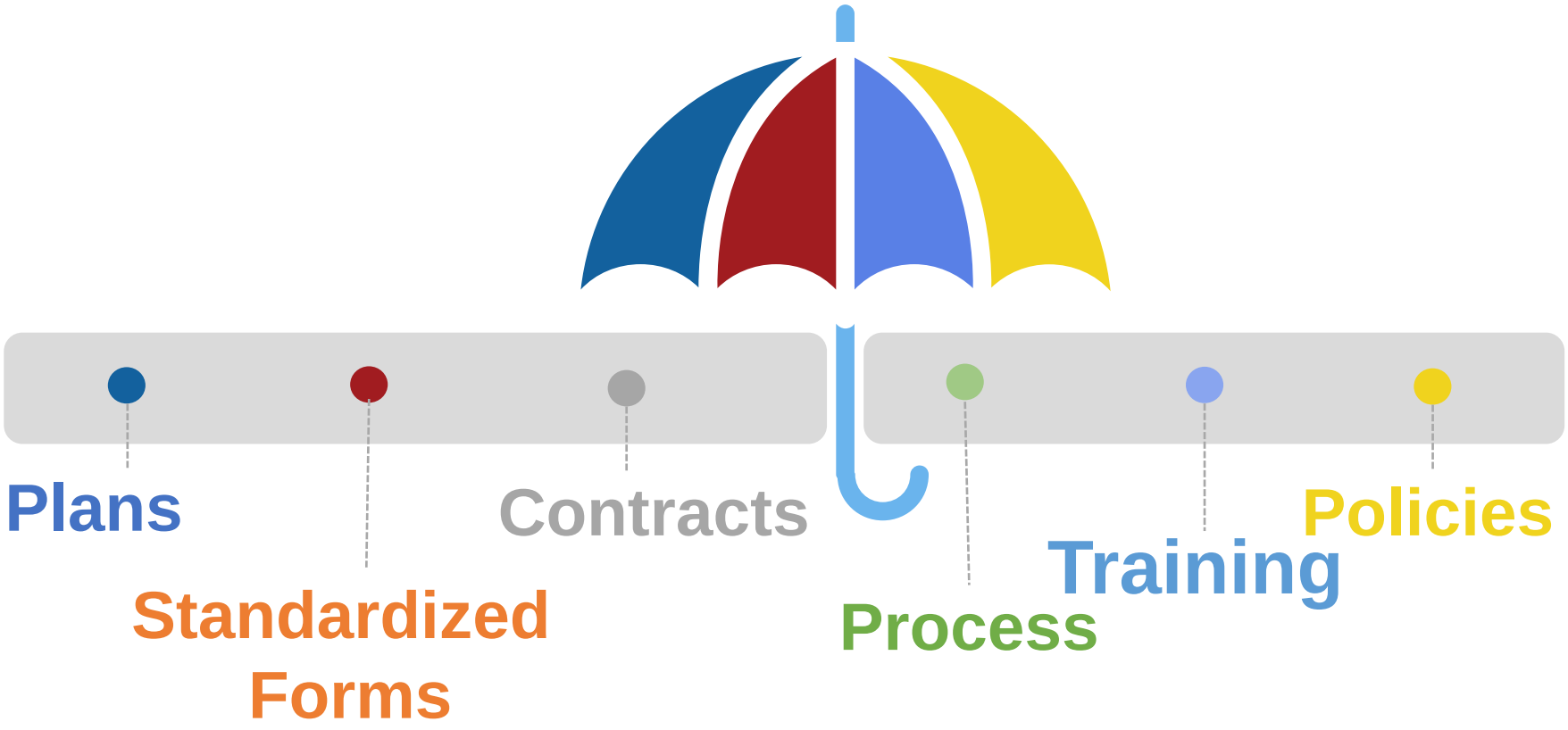
Checklists and Guidance

Log in to Floridadisaster.org/froc to find:

- FEMA policies and fact sheets
- Informational one-pagers
- Checklists
- Templates
- Guides
- Guidelines on common mistakes to avoid
- Use case examples
- Reference lists
- And so much more!

FloridaDisaster.org/FROC

Customized Abatement Plan



F-ROC Scoring

How to Earn F-ROC Points

Disaster Readiness Assessment

- Complete Prior to Start of Hurricane Season
- Approximately 70 questions
- Earn up to 40 additional points

Post Disaster Questionnaire

- Complete after an Event
- Approximately 10 questions
- Earn up to 20 additional points

F-ROC Scoring

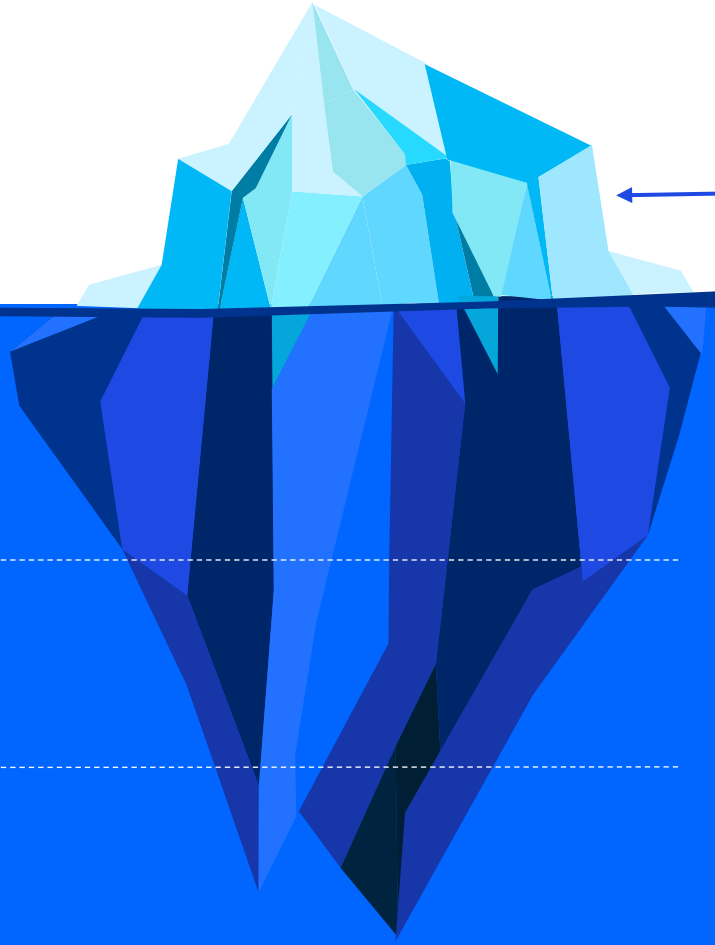
The Florida Iceberg! Just like the majority of an iceberg isn't visible, the majority of PA funding can be received upfront through the F-ROC process.

The **maximum F-ROC score is 80**. This score is made up of three components:

20 Post Disaster Questionnaire (F-ROC Forms and Training)

40 Disaster Readiness Assessment

20 Baseline



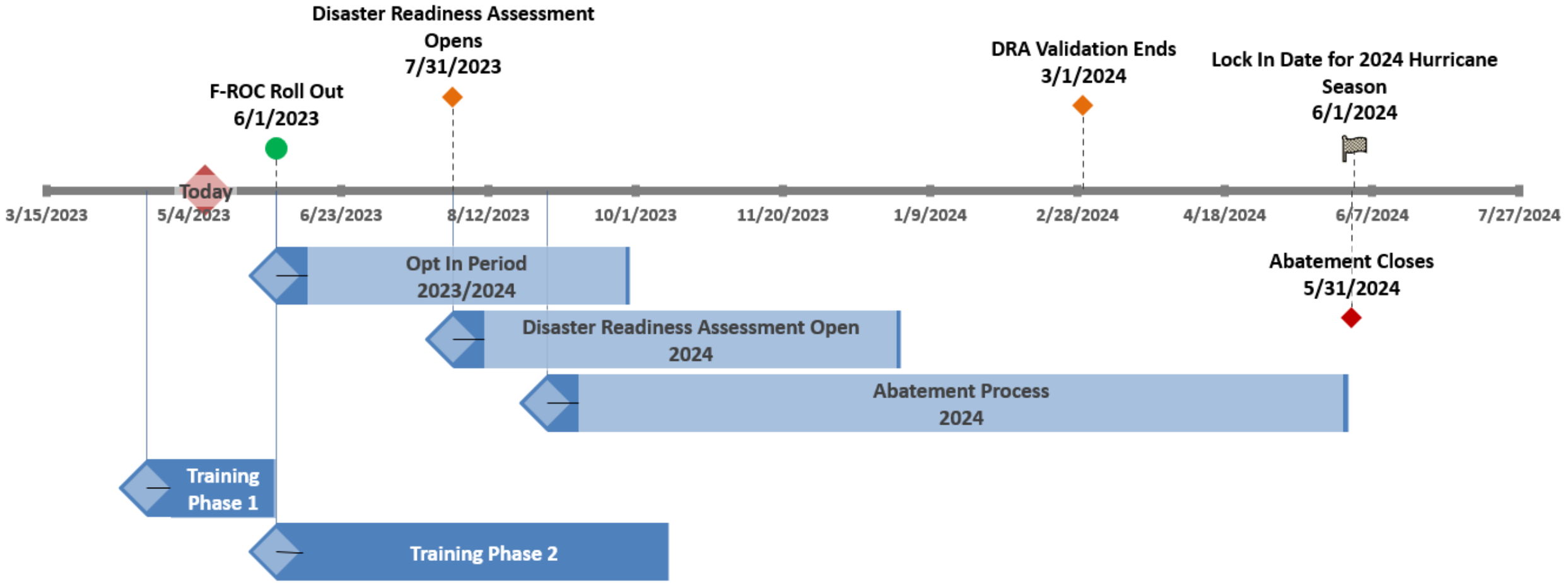
Once **validation** of supporting documents is **complete**, you will receive the remainder of the validated, obligated amount

With F-ROC, you can receive up to **80% Funding Upfront**

*5 bonus points can be earned with EMAP accreditation

What's Next

F-ROC Statewide Roll Out



Future of F-ROC

3 Year Progression

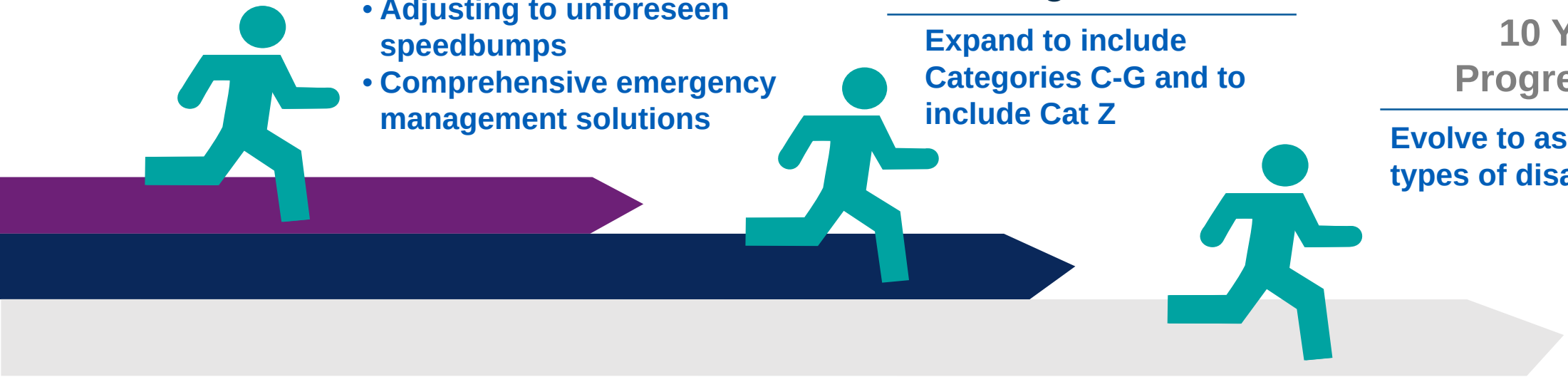
- Community partnering in evolving F-ROC into best practice tool
- Adjusting to unforeseen speedbumps
- Comprehensive emergency management solutions

5 Year Progression

Expand to include Categories C-G and to include Cat Z

10 Year Progression

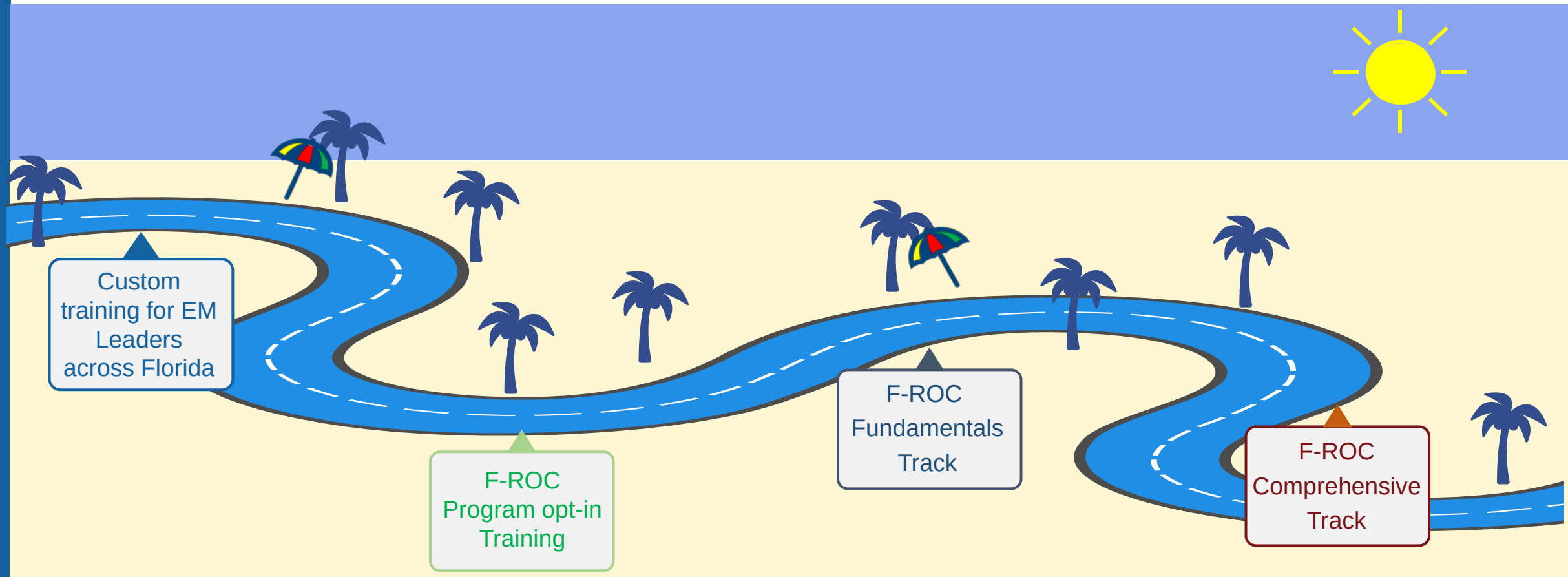
Evolve to assist with other types of disasters



Future of F-ROC

F-ROC 2.0

F-ROC Training Journey



Leadership Training Feedback

Deep dive into F-ROC was great!

Great information. I believe I have a better understanding of the F-ROC program because of today's training.

The instructor was very knowledgeable of the material. The Q&A was informative and timely.

Great course! I have a clear understanding of the program and benefits.

The course was very informative.

Good overview of the new process!

Good intro, we will be participating.

Data Driven Emergency Management

Your Action Plan

Prepare to Recover

Opt-In

Sign Terms & Conditions

Complete the DRA

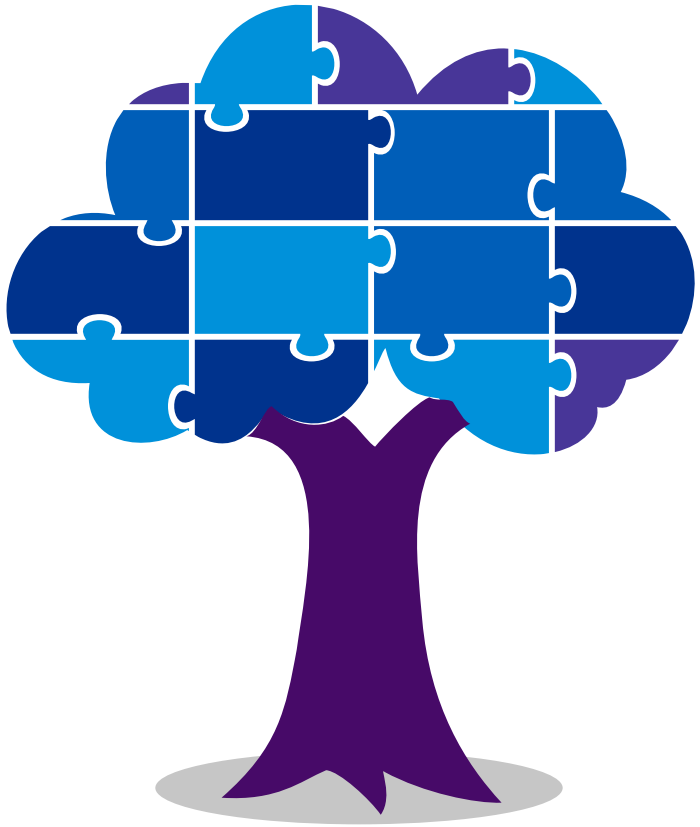
Receive your Abatement Plan

Act on your AP (if applicable)

Complete the PDQ

How Can I Stay Informed?

Have feedback or ideas?



Want to receive updates?

FloridaDisaster.org/FROC

Please send an email to F-ROC@em.myflorida.com

Questions?